



FILE NO.: AP33567 - 070457.1062  
PATENT

2165  
#5  
BT  
6-16-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kranzley *et al.*  
Serial No. : 09/963,274 Examiner: Not Yet Assigned  
Filed : September 26, 2001 Group Art Unit: 2165  
For : A UNIVERSAL AND INTEROPERABLE SYSTEM AND METHOD  
UTILIZING A UNIVERSAL CARDHOLDER AUTHENTICATION  
FIELD (UCAF) FOR AUTHENTICATION DATA COLLECTION  
AND VALIDATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

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Robert C. Scheinfeld  
Attorney Name  
[Signature]  
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31,300  
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Sir or Madam:

In accordance with 37 C.F.R. §§§ 1.56, 1.97 and 1.98, applicants enclose herewith references for consideration by the Patent and Trademark Office with respect to the above-identified application. Also enclosed is Form PTO-1449 listing the enclosed references.

Applicants request that the listed documents be considered and made of record in the application if appropriate.

1. U.S. Publication 2001/0034720 A1 to Armes, published on Oct. 25, 2001.
2. U.S. Patent 6,072,870 to Nguyen, issued June 6, 2000; and
3. U.S. Patent 5,317,636 to Vizcaino, issued May 31, 1994.

Any required fees may be charged to Deposit Account No. 02-4377.

Respectfully submitted,

Dated: June 5, 2003

  
\_\_\_\_\_  
Robert C. Scheinfeld  
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Encls.

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-82) Patent and Trademark Office  
INFORMATION DISCLOSURE  
STATEMENT

BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.  
AP33567 - 070457.1062

Serial No.  
09/963,274

Applicant  
Hogan *et al.*

Filing Date  
September 26, 2001

Group  
2165

Examiner  
To Be Assigned

*Exam. Init.	Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
	0 0 3 4 7 2 0	10/25/01	Armes	705	65	
	6 0 7 2 8 7 0	6/6/00	Nguyen, et al.	380	24	
	5 3 1 7 6 3 6	5/31/94	Vizcaino	380	23	

FOREIGN PATENT DOCUMENT

Document No.	Date	Country	Class	SubClass	Translator Yes No

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OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

NY02:44111.1

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.